

M E T U  
Department of Mathematics

Ideals, Varieties and Algorithms					
Midterm 1					
Code	: Math 473	Last Name	:		
Acad. Year	: 2019-2020	First Name	: Student ID :		
Semester	: Fall	Department	:		
Instructor	: Tolga Karayayla	Signature	:		
Date	: 07.11.2019	6 Questions on 4 Pages			
Time	: 17.40	SHOW DETAILED WORK!			
Duration	: 120 minutes				
1	2	3	4	5	6

NOTE:  $k$  is a field in all questions below.

1. (15 pts.) Use *lex* order with  $x > y$  and perform the Division Algorithm to divide  $f = x^2y + 2y^3 + 3x^4y^5$  by  $(f_1, f_2, f_3)$  in  $\mathbb{Q}[x, y]$  where  $f_1 = x^3y^2 + x + 2$ ,  $f_2 = xy^3 + 2y$  and  $f_3 = y^2 + 3$ .

$$\begin{aligned}
 a_1 &= 3xy^3 \\
 a_2 &= -3x - 6 \\
 a_3 &= 2y
 \end{aligned}$$
  

$$\begin{array}{r}
 f_1 = x^3y^2 + x + 2 \\
 f_2 = xy^3 + 2y \\
 f_3 = y^2 + 3
 \end{array}$$
  

$$\begin{array}{r}
 3x^4y^5 + xy^2 + 2y^3 \\
 - 3x^4y^5 + 3x^2y^3 + 6xy^3 \\
 \hline
 -3x^2y^3 + x^2y - 6xy^3 + 2y^3 \\
 - 3x^2y^3 - 6xy^3 \\
 \hline
 \textcircled{x^2y} - 6xy^3 + 6xy + 2y^3 \quad \longrightarrow \quad x^2y \\
 - 6xy^3 + 6xy + 2y^3 \\
 - 6xy^3 - 12y \\
 \hline
 \textcircled{6xy} + 2y^3 + 12y \quad \longrightarrow \quad x^2y + 6xy \\
 2y^3 + 12y \\
 - 2y^3 + 6y \\
 \hline
 \textcircled{6y} \quad \longrightarrow \quad x^2y + 6xy + 6y \\
 \hline
 0
 \end{array}$$

$$f = a_1 f_1 + a_2 f_2 + a_3 f_3 + r$$

$$\text{where } a_1 = 3xy^3, a_2 = -3x - 6, a_3 = 2y, r = x^2y + 6xy + 6y$$

None of terms of  $r$  are divisible by any of  $LT(f_1)$ ,  $LT(f_2)$  and  $LT(f_3)$

2. (15 pts.) Fact:  $\{g_1, g_2, \dots, g_r\} \subset I$  is a Groebner basis of an ideal  $I \subset k[x_1, x_2, \dots, x_n]$  if and only if for every  $f \in I$  there is at least one  $g_i$  such that  $LT(g_i)$  divides  $LT(f)$ .

Use this fact to show that  $\{g_1, g_2, g_3\}$  is not a Groebner basis of  $I = \langle g_1, g_2, g_3 \rangle \subset \mathbb{R}[x, y, z]$  in grlex order with  $x > y > z$  where  $g_1 = x^2y + y^2z^2 + z$ ,  $g_2 = x^4 + 2xy^4z + 1$  and  $g_3 = x^2y^2 + yz + 2$ .

In grlex order,  $LT(g_1) = y^2z^2$ ,  $LT(g_2) = 2xy^4z$ ,  $LT(g_3) = x^2y^2$

$$f = x^2g_1 - z^2g_3 \Rightarrow f \in I = \langle g_1, g_2, g_3 \rangle$$

$$= x^2(x^2y + y^2z^2 + z) - z^2(x^2y^2 + yz + 2) = x^4y + x^2y^2z^2 + x^2z - x^2y^2z^2 - yz^2 - 2z^2$$

$$= x^4y + x^2z - yz^2 - 2z^2, \text{ so } LT(f) = x^4y$$

$$LT(g_1) \nmid LT(f) \quad LT(g_2) \nmid LT(f) \quad LT(g_3) \nmid LT(f)$$

$f \in I$ , but  $LT(f)$  is not divisible by any of  $LT(g_1), LT(g_2), LT(g_3)$ , then by the given "fact" above,  $\{g_1, g_2, g_3\}$  is not a Groebner basis of  $I = \langle g_1, g_2, g_3 \rangle$  in grlex order.

3. (10+10 pts.) a) For any monomial order  $>$  show that  $LT(f \cdot g) = LT(f) \cdot LT(g)$  for any nonzero polynomials  $f$  and  $g$  in  $k[x_1, x_2, \dots, x_n]$ .

Let  $f = a_1x^{\alpha(1)} + a_2x^{\alpha(2)} + \dots + a_r x^{\alpha(r)} = \sum_{i=1}^r a_i x^{\alpha(i)}$  where  $LT(f) = a_1 x^{\alpha(1)}$ ,  $a_1 \neq 0$   
 $g = b_1x^{\beta(1)} + \dots + b_s x^{\beta(s)} = \sum_{j=1}^s b_j x^{\beta(j)}$  where  $LT(g) = b_1 x^{\beta(1)}$ ,  $b_1 \neq 0$

(Notation:  $x^{\alpha} = x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n}$ )  
 Then  $f \cdot g = \left( \sum_{i=1}^r a_i x^{\alpha(i)} \right) \cdot \left( \sum_{j=1}^s b_j x^{\beta(j)} \right) = \sum_{i=1}^r \sum_{j=1}^s a_i b_j x^{\alpha(i) + \beta(j)}$   
 $x^{\alpha(i)} \geq x^{\alpha(i)}$  and  $x^{\beta(j)} \geq x^{\beta(j)}$  for all  $i$  and  $j$

Then  $\left. \begin{matrix} x^{\alpha(1)} + x^{\beta(1)} \geq x^{\alpha(i)} + x^{\beta(1)} \text{ for all } i \\ x^{\beta(1)} + x^{\alpha(i)} \geq x^{\beta(j)} + x^{\alpha(i)} \text{ for all } i \text{ and } j \end{matrix} \right\} \Rightarrow x^{\alpha(1) + \beta(1)} \geq x^{\alpha(i) + \beta(j)} \text{ for all } i \text{ and } j$   
 $a_i b_j \neq 0$ , so  $a_i b_j x^{\alpha(i) + \beta(j)} = LT(f) \cdot LT(g)$  is the term of  $f \cdot g$  with the largest monomial, hence it is  $LT(f \cdot g)$  (equality holds only if  $(i,j) = (1,1)$ )

b) Let  $I = \langle g \rangle \subset k[x_1, \dots, x_n]$  where  $g \neq 0$  be a principal ideal. Show that if  $G$  is a finite subset of  $I$  containing  $cg$  for some  $c \in k - \{0\}$ , then  $G$  is a Groebner basis of  $I$ .

Let  $c, g \in G$ ,  $G \subseteq I$ ,  $c$  is finite. ( $c \in k - \{0\}$ )

Let  $f \in I = \langle g \rangle$  and  $f \neq 0$ , then  $f = h \cdot g$  for some  $h \in k[x_1, \dots, x_n]$

Then  $LT(c \cdot g) = LT(c) \cdot LT(g) = c \cdot LT(g)$  ( $LT(c) = c$  since  $c \in k - \{0\}$ , constant)

$LT(f) = LT(h \cdot g) = LT(h) \cdot LT(g)$

$c \cdot LT(g) \mid LT(h) \cdot LT(g)$  since  $LT(h) \cdot LT(g) = c \cdot LT(g) \cdot \frac{1}{c} \cdot LT(h)$  ( $\frac{1}{c} \in k$ )

$c, g \in G$ ,  $LT(c \cdot g) \mid LT(f)$  for any  $f \in I$ .  
 Then by the "Fact" in question 2,  $G$  is a Groebner basis of  $I = \langle g \rangle$  if  $c \neq 0$  in  $k$

4. (3 x 9 pts.) a) Let  $W = \{(t^5, t^3, t^2) \in k^3 \mid t \in k\}$ . Show that  $W = V(x^3 - y^5, x^2 - z^5, y^2 - z^3)$ . (Hint: it may help to write  $s = \frac{y}{z}$  when  $z \neq 0$ ).

$$W \subseteq V(x^3 - y^5, x^2 - z^5, y^2 - z^3):$$

Let  $(a, b, c) \in W$ , then  $(a, b, c) = (t^5, t^3, t^2)$  for some  $t \in k$ , then for  $(x, y, z) = (a, b, c) = (t^5, t^3, t^2)$ ,

$$x^3 - y^5 = (t^5)^3 - (t^3)^5 = 0, \quad x^2 - z^5 = (t^5)^2 - (t^2)^5 = 0, \quad y^2 - z^3 = (t^3)^2 - (t^2)^3 = 0.$$

Thus  $(a, b, c) \in V(x^3 - y^5, x^2 - z^5, y^2 - z^3)$ .

$$V(x^3 - y^5, x^2 - z^5, y^2 - z^3) \subseteq W:$$

Let  $(x_0, y_0, z_0) \in V(x^3 - y^5, x^2 - z^5, y^2 - z^3)$ , then  $x_0^3 - y_0^5 = 0, x_0^2 - z_0^5 = 0, y_0^2 - z_0^3 = 0$ .

If  $z_0 = 0$ , then  $(x_0, y_0, z_0) = (0, 0, 0) = (0^5, 0^3, 0^2) \in W$ .

If  $z_0 \neq 0$ , let  $s = \frac{y_0}{z_0}$ . Then  $s^2 = \frac{y_0^2}{z_0^2} = \frac{z_0^3}{z_0^2} = z_0 \Rightarrow s^2 = z_0$

$$s = \frac{y_0}{z_0} = \frac{y_0}{z_0^2} = y_0 \Rightarrow s^3 = y_0, \quad s = \frac{y_0}{z_0} = \frac{z_0}{z_0^2} = z_0 \Rightarrow s^5 = z_0$$

Thus  $(x_0, y_0, z_0) = (s^5, s^3, s^2) \in W$

Therefore, we get the equality  $V(x^3 - y^5, x^2 - z^5, y^2 - z^3) = W$ .

b) Show that  $xy - z^4 \in I(W) = \langle x^3 - y^5, x^2 - z^5, y^2 - z^3 \rangle$ .

Let  $P \in W$ , then  $P = (t^5, t^3, t^2)$  for some  $t \in k$ , then  $xy - z^4 = (t^5)(t^3) - (t^2)^4 = 0$

$xy - z^4$  vanishes at all  $P \in W$ , so  $xy - z^4 \in I(W)$

If  $xy - z^4 \in \langle x^3 - y^5, x^2 - z^5, y^2 - z^3 \rangle$  then

$$xy - z^4 = h_1(x^3 - y^5) + h_2(x^2 - z^5) + h_3(y^2 - z^3)$$

If  $h_i = \sum c_{ij} x^i y^j z^k$  is substituted, each term on the right side is divisible by  $k[x, y, z]$ .

by one of  $x^3, y^5, x^2, z^5, y^2, z^3$ , so there is no  $xy$  term on the right.

Thus  $xy - z^4 \notin \langle x^3 - y^5, x^2 - z^5, y^2 - z^3 \rangle$

c) Show that if  $f = x^4 y^3 z^2 + y^5 z^3 + x^6$ , then  $f \notin \langle x^3 - y^5, x^2 - z^5, y^2 - z^3 \rangle$ .

$$f(t^5, t^3, t^2) = (t^5)^4 (t^3)^3 (t^2)^2 + (t^3)^5 (t^2)^3 + (t^5)^6 = t^{33} + t^{26} + t^{30}$$

not 0 polynomial, hence not 0 function if  $k$  is infinite. Hence  $f$  does not vanish at every point of  $W$  if  $k$  is infinite.

Thus,  $f \notin I(W)$ .

Even if  $k$  is a finite field,  $f(t^5, t^3, t^2) = t^{33} + t^{26} + t^{30}$  cannot be 0 function:

$f(1, 1, 1) = 3 = 0 \Rightarrow$  characteristic of  $k$  is 3 (For example  $k = \mathbb{Z}_3$ )

Then  $f(-1) = 1 \neq 0$ . So  $f \notin I(W)$  for any field  $k$ .

Note that  $W = V(x^3 - y^5, x^2 - z^5, y^2 - z^3)$

$I = \langle x^3 - y^5, x^2 - z^5, y^2 - z^3 \rangle \subseteq I(W)$  we get  $f \notin I(W) \Rightarrow \underline{f \notin I}$

5. (15 pts.) Let  $S \subset k[x_1, \dots, x_n]$  be an infinite set of polynomials ( $S$  can be countable or uncountable) and let  $I = \langle S \rangle$  be the ideal generated by elements of  $S$  in  $k[x_1, \dots, x_n]$  ( $I$  consists of elements of the form  $h_1 f_1 + h_2 f_2 + \dots + h_r f_r$  where  $f_i \in S$ ,  $h_i \in k[x_1, \dots, x_n]$  and  $r \in \mathbb{N}$ ).

Show that there is a finite subset  $\{g_1, g_2, \dots, g_m\}$  of  $S$  such that  $I = \langle g_1, g_2, \dots, g_m \rangle$ .

$I = \langle S \rangle$  is an ideal of  $k[x_1, \dots, x_n]$ , thus by Hilbert Basis Theorem  $I$  has a finite basis

$$\{F_1, F_2, \dots, F_r\}, \text{ that is } I = \langle F_1, F_2, \dots, F_r \rangle$$

For each  $i=1, 2, \dots, r$ ,  $F_i \in I = \langle S \rangle$ , so

$$F_i = h_{i1} f_{i1} + h_{i2} f_{i2} + \dots + h_{iN_i} f_{iN_i} = \sum_{j=1}^{N_i} h_{ij} f_{ij} \quad \text{where } f_{ij} \in S, h_{ij} \in k[x_1, \dots, x_n]$$

so  $F_i \in \langle f_{i1}, f_{i2}, f_{i3}, \dots, f_{iN_i} \rangle$ .

Then for  $S_i = \{f_{i1}, f_{i2}, \dots, f_{iN_i}\} \subseteq S$ , define  $\tilde{S} = S_1 \cup S_2 \cup \dots \cup S_r$ .

Each  $S_i$  is a finite set,  $S_i$  has  $N_i$  elements.  $\tilde{S}$  is a finite subset of  $S$ .

$S_i \subseteq \tilde{S}$ , so  $\langle S_i \rangle \subseteq \langle \tilde{S} \rangle$ ,  $F_i \in \langle S_i \rangle$ , so  $F_i \in \langle \tilde{S} \rangle$  for all  $i=1, 2, \dots, r$ .

Thus  $\{F_1, F_2, \dots, F_r\} \subseteq \langle \tilde{S} \rangle$

Then,  $I = \langle F_1, F_2, \dots, F_r \rangle \subseteq \langle \tilde{S} \rangle \subseteq \langle S \rangle = I$

Therefore,  $I = \langle F_1, F_2, \dots, F_r \rangle = \langle \tilde{S} \rangle = \langle S \rangle = I$ ,  $\langle \tilde{S} \rangle = I$ ,  $\tilde{S} \subseteq S$ ,  $\tilde{S}$  is finite.

OR: Verbally, each generator  $F_i$  is a finite linear combination of some  $f_{ij} \in S$  with polynomial coefficients. The set of all these  $f_{ij}$  appearing in those linear combinations is a finite set

(finitely many  $f_{ij}$  is used for each  $F_i, i=1, 2, \dots, r$ ), and  $I = \langle \tilde{S} \rangle$  since

$F_i \in \langle \tilde{S} \rangle$  for each  $i$ , and this implies  $\langle F_1, \dots, F_r \rangle \subseteq \langle \tilde{S} \rangle$

$F_i$  is a linear comb. of some elements of  $\tilde{S}$  with polynomial coeff.

$I \subseteq \langle \tilde{S} \rangle$   
 $\tilde{S} \subseteq I \Rightarrow \langle \tilde{S} \rangle \subseteq I$  } so  $I = \langle \tilde{S} \rangle$

6. (2 x 4 pts.) Let  $W = V(f_1, f_2)$ ,  $Y = V(g_1, g_2)$  and  $Z = V(h_1, h_2)$  be affine varieties in  $k^n$  where  $f_i, g_i$  and  $h_i$  are in  $k[x_1, \dots, x_n]$ . In part (a) and (b) below, write a system of polynomial equations whose solution set is the given set. No explanation is necessary.

a)  $W \cap (Y \cup Z)$

$$Y \cup Z = V(g_1, g_2) \cup V(h_1, h_2) = V(g_1 h_1, g_1 h_2, g_2 h_1, g_2 h_2)$$

$$W \cap (Y \cup Z) = V(f_1, f_2) \cap V(g_1 h_1, g_1 h_2, g_2 h_1, g_2 h_2) = V(f_1, f_2, g_1 h_1, g_1 h_2, g_2 h_1, g_2 h_2)$$

System of polynomial equations:  $f_1 = 0, f_2 = 0, g_1 h_1 = 0, g_1 h_2 = 0, g_2 h_1 = 0, g_2 h_2 = 0$ .

b)  $W \cup Y \cup Z$

$$W \cup (Y \cup Z) = V(f_1, f_2) \cup V(g_1 h_1, g_1 h_2, g_2 h_1, g_2 h_2) = V(f_1 g_1 h_1, f_1 g_1 h_2, f_1 g_2 h_1, f_1 g_2 h_2, f_2 g_1 h_1, f_2 g_1 h_2, f_2 g_2 h_1, f_2 g_2 h_2)$$

System of equations:  $f_a g_b h_c = 0$  for all  $(a, b, c), a, b, c \in \{1, 2\}$   
 (8 equations)